



Classic Advisory Service

Collins Sarri Statham Investments (CSSI) settles and clears all trades through Jarvis Investment Management Plc. Please note that CSSI do not charge you separately for advising you on your investment portfolio; however, any trade executed will incur commission charges and fees. The fees and charges for the main markets are detailed below and worked examples illustrating how the total cost of a transaction are also given.

UK Equities

When you undertake a trade, you will incur various fees & charges over and above the consideration (consideration = price multiplied by the quantity of the shares). Minimum order sizes may apply in some securities. These fees and charges may include:

- Commission: @ 1% of total consideration. There is a minimum charge of £30.
- Stamp duty: 0.5% of total consideration, chargeable on purchases only and collected on behalf of HMRC.
- PTM Levy: £1 on all trades over £10,000 collected on behalf of the Panel for Takeovers and Mergers.
- Compliance charge: £20.00 per trade.

For Example:

		Purchases			Sales		
Number of Shares		2,000	5,000	10,000	2,000	5,000	10,000
Price of Share		<u>£1.00</u>	<u>£1.00</u>	<u>£1.00</u>	<u>£1.00</u>	<u>£1.00</u>	<u>£1.00</u>
		<u>£2,000.00</u>	<u>£5,000.00</u>	<u>£10,000.00</u>	<u>£2,000.00</u>	<u>£5,000.00</u>	<u>£10,000.00</u>
Stamp Duty (Purchase only)	add	£10.00	£25.00	£50.00	less	n/a	n/a
PTM Levy	add	n/a	n/a	£1.00	less	n/a	£1.00
Commission	add	£20.00	£50.00	£100.00	less	£20.00	£50.00
Minimum comm. applied	add	£30.00			less	£30.00	
Compliance charge	add	£20.00	£20.00	£20.00	less	£20.00	£20.00
Total		<u>£2,060.00</u>	<u>£5,095.00</u>	<u>£10,171.00</u>		<u>£1,950.00</u>	<u>£4,930.00</u>

Overseas Equities

When trading in overseas equities you may be subject to local taxes and related market charges.

- Commission: @ 1% of total consideration. There will be a minimum charge dependent on region.
- Minimum commission on US: £50 Europe: £60 Rest of World: £70
- Custody charges apply on overseas equities at a minimum of £3 PCM or 5 basis points.
- Compliance charge: £20.00 per trade.

For Example:

		Purchases			Sales		
		French	Italian	USA			
Number of Shares		2,500	7,500	2,500	2,500	7,500	2,500
Price of Share		<u>@€1:00</u>	<u>@€1:00</u>	<u>@\$5:00</u>	<u>@€1:00</u>	<u>@€1:00</u>	<u>@\$5:00</u>
		<u>€ 2,500</u>	<u>€ 7,500</u>	<u>\$12,500.00</u>	<u>€ 2,500</u>	<u>€ 7,500</u>	<u>\$12,500.00</u>
Local Charge	add	@0.30%	@0.12%	n/a	less	n/a	@0.12%
	add	€ 7.50	€ 9.00	n/a	less	n/a	€ 9.00
Exchange Rate		[0.80]	[0.80]	[0.60]	[0.80]	[0.80]	[0.60]
Commission	add	£20.00	£60.00	£75.00	less	£20.00	£60.00
Minimum comm. applied	add	£60.00	n/a	n/a	less	£60.00	n/a
Compliance charge	add	£20.00	£20.00	£20.00	less	£20.00	£20.00
Total		<u>£2,086.00</u>	<u>£6,087.20</u>	<u>£7,595.00</u>		<u>£1,920.00</u>	<u>£5,912.80</u>

Exchange rates quoted for illustrative purpose only.

Government Securities and Corporate Bonds

Trading in Government Bonds Gilts and related securities and Corporate Bonds will attract commission and compliance charges as detailed below. Please note a minimum charge (£80) does apply. The minimum market size for bonds is usually £10,000.

- Commission: 50 basis points (0.5%) – subject to a minimum charge of £80
- Compliance charge: £20.00

For Example:

		Purchases			Sales		
Number of Bonds		10,000	50,000	100,000	10,000	50,000	100,000
Price of Bond		£0.95	£0.95	£0.95	£0.95	£0.95	£0.95
		<u>£9,500.00</u>	<u>£47,500.00</u>	<u>£95,000.00</u>	<u>£9,500.00</u>	<u>£47,500.00</u>	<u>£95,000.00</u>
Commission	add	£47.50	£237.50	£475.00	£47.50	£237.50	£475.00
Minimum comm. applied	add	£100.00			£100.00		
Compliance charge	add	£20.00	£20.00	£20.00	£20.00	£20.00	£20.00
Total		<u>£9,620.00</u>	<u>£47,757.50</u>	<u>£95,495.00</u>	<u>£9,380.00</u>	<u>£47,242.50</u>	<u>£94,505.00</u>

Administration Charges

In addition to the fees and charges relating to your trading activity your account may also incur the following fees: -

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| • Advised portfolio charge | Free* |
| • Stock deposit (Crest account transfer) / Settlement | Free** |
| • Share certificate deposit | Free |
| • General annual account administration charge | £240 per year debited in arrears at £20 per month*** |
| • ISA/SIPP annual account administration charge | £120 per year debited in arrears at £10 per month |
| • JISA annual account administration charge | £60 per year debited in arrears at £5 per month |
| • Stock withdrawal | £15 per security |
| • Duplicate contract note(s) – email | Free |
| • Valuation monthly - via email | Free |
| • Request recording of phone call | £10 |
| • Audit request | £50 |
| • Custody fee for overseas stocks | £3 PCM or 5 basis points |

*You will attract fees and charges for each trade that you place, whether it is to open or close a position. Therefore, please ensure you understand the fees and charges that you will incur before starting trading.

**Jarvis may charge additional fees for the settlement or transfer of nonstandard securities, so please speak to your broker to confirm on a case-by-case basis.

***Please note that where more than one account is held then the annual charge is reduced to a maximum of £120 per account, per year e.g., if you have an ISA and a GTA account then each account would be debited £120 pa.

Please ask your broker for details if you are unsure of the full costs you will incur when trading through us.

Trading in the products and services offered by Collins Sarri Statham Investments Ltd may, result in losses as well as profits as the value of investments may go down as well as up. You may not get back the full amount you have invested. Investments held in overseas markets are subject to the effects of changes in exchange rates which will impact on the value of the underlying investment. Investments made in AIM and penny shares carry an increased risk due to the difficulty in creating a market in these shares. There may be a substantial difference in the buy and sell price. Leveraged products such as Contracts for Difference (CFDs), derivatives, commodities & Foreign Exchange (FX), carry a higher risk to your capital and they can lose their value rapidly.

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