



**COLLINS SARRI STATHAM**  
INVESTMENTS

**CLASSIC ACCOUNT APPLICATION FORM**

**1.0 PERSONAL DETAILS:**

Full Name: \_\_\_\_\_

Gender: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Town of Birth: \_\_\_\_\_

Nationality: \_\_\_\_\_

National Insurance Number: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Telephone Numbers: Home: \_\_\_\_\_ Mobile: \_\_\_\_\_

Email Address: \_\_\_\_\_

Country for Tax purposes: \_\_\_\_\_

*(Please note we are unable to open accounts for US residents or tax payers)*

Approximate amount to be invested with CSS Investments: \_\_\_\_\_

*(Please note minimum funding levels may apply. Please consult with us if you are looking to fund with less than £15,000. We can accept transfers of cash and shares into the account.)*

Please could you indicate your source of wealth (i.e., savings, inheritance etc.): \_\_\_\_\_

\_\_\_\_\_

**2.0 IDENTIFICATION DOCUMENTS**

In order that we can confirm your identification in accordance with UK Anti-Money Laundering requirements we will require some form of identification document. Our preference is a copy of your passport identification page. However, we can also accept copies of a driving licence. Other forms of ID may be acceptable; please contact us if you do not have either of these documents.

**3.0 DETAILS OF YOUR EXPERIENCE**

How long have you been trading financial instruments, stocks, shares, bonds etc.

Please indicate approx. how many trades you have undertaken in the past 12 months.

Do you have any financial industry recognised qualifications? \_\_\_\_\_  
(If "Yes" please state what qualifications you have) \_\_\_\_\_

#### 4.0 BANK & TAX REFERENCE INFORMATION

Please provide details of the Bank Account from which this account will be funded: -

Name on Account: \_\_\_\_\_

Account Number: \_\_\_\_\_

Sort Code: \_\_\_\_\_

If you required dividend income to be withdrawn quarterly, please tick this box:

**UK National Insurance Number:** \_\_\_\_\_

#### 5.0 DATA PROTECTION:

To comply with the UK Money Laundering Regulations, we are required to collect information about you and to undertake checks on your identity and residential address, including accessing and using information held in database or other electronic format through other agencies. We may also request further supporting documentation from you to verify these details. Your information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. We will add this personal data and details (including email details) to our database. If you do not consent to the use of your personal data in this way, we are unable to consider your application. By submitting the completed application form to us, you consent to the use of your information as indicated. In the absence of other instructions from you, we will send confirmation(s) and monthly statements to you by e-mail. Additionally, please refer to our Privacy Notice that is available on our website - <https://www.css-investments.com/privacy-notice/>

#### 6.0 DECLARATIONS:

I declare that:

- I hereby request and authorise Collins Sarri Statham Investments Limited to open an account on my behalf with Jarvis Investment Management Limited;
- I am acting in my name as specified on this form and not on behalf of another party in respect to all matters relating to this account; accordingly, all funds to be deposited and transacted on the account are my own funds;
- I have read and understood the nature and the risk of the product(s) that will be traded on this account;
- I have obtained, read and understood the following:
  - a) The Retail Client Agreement
  - b) Order Execution Policy,
  - c) Conflict of Interest Policy,
  - d) the Account Fees and Charges.
- I have provided true, accurate and complete information and authorise you to make any enquiries which you may consider necessary for confirmation of such information. I undertake to update CSS

Investments, without delay, of any changes to the information provided in either this form, or in the Retail Client Profile (RCP) telephone call that will be undertaken with the account's allocated broker, once the account has been opened and accepted by CSS Investments;

- I accept and agree to be bound by the terms outlined above in the Retail Client Agreement and consent to such terms and information including future updates to these being provided to me.

Date: \_\_\_\_\_

Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Please forward this form, together with a signed copied of the Classic Retail Client Agreement, and copies of identification documents to: **Trade Support, Collins Sarri Statham Investment Limited, 138 Fortis Green Road, Muswell Hill, London. N10 3DU.** or email to [tradesupport@css-investments.com](mailto:tradesupport@css-investments.com)

Should you wish to open this account as an ISA account, please acknowledge the following:

## ISA Account Declaration

*ONLY TO BE COMPLETED BY INDIVIDUALS WISHING TO OPEN A CLASSIC ISA ACCOUNT*

### I declare that

- all subscriptions made, and to be made, belong to me.
- I am 18 years of age or over
- I have not subscribed/made payments and will not subscribe/make payments more than the overall subscription/payment limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Collins Sarri Statham Investments Ltd if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

### I authorise Jarvis Investment Management Ltd

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- to make on my behalf any claims to relief from tax in respect of ISA investments.
- I agree to the ISA Supplemental Terms and Conditions.

- I declare that this application form has been completed to the best of my knowledge and belief.

**Date:** \_\_\_\_\_

**Full Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_