



COLLINS SARRI STATHAM
INVESTMENTS

CLASSIC JOINT ACCOUNT APPLICATION FORM

1.0 PERSONAL DETAILS:

First Applicant

Full Name: _____

Gender: _____

Date of Birth: _____

Town of Birth: _____

Nationality: _____

Second Applicant

Full Name: _____

Gender: _____

Date of Birth: _____

Town of Birth: _____

Nationality: _____

Address: _____

Telephone Numbers: Home: _____ Mobile: _____

Email Address: _____

Country for Tax purposes: _____

(Please note we are unable to open accounts for US residents or taxpayers)

Approximate amount to be invested with CSS Investments (CSSI): _____

(Please note minimum funding levels may apply. Please consult with us if you are looking to fund with less than £20,000. We can accept transfers of cash and shares into the account.)

Please could you indicate your source of wealth (i.e., savings, inheritance etc.): _____

2.0 IDENTIFICATION DOCUMENTS

In order that we can confirm your identification in accordance with UK Anti-Money Laundering requirements we will require identification documents for both joint account holders. Our preference is a copy of each applicant's passport identification page. However, we can also accept copies of a driving licence. Other forms of ID may be acceptable but please contact us if you do not have either of these documents.

3.0 DETAILS OF PERSON(S) AUTHORISED TO OPERATE ACCOUNT

Name: _____

(Please supply name of person who will be operating the account.)

How long have you been trading financial instruments, stocks, shares, bonds etc.

Please indicate approx. how many trades you have undertaken in the past 12 months.

Do you have any financial industry recognised qualifications? _____

(If "Yes" please state what qualifications you have) _____

Does the person work, or has ever worked, in the financial sector? _____

4.0 BANK & TAX REFERENCE INFORMATION

Please provide details of the Bank Account from which this account will be funded: -

Name on Account: _____

Account Number: _____

Sort Code: _____

If you required dividend income to be withdrawn quarterly, please tick this box:

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UK National Insurance Number:

First Applicant: _____

Second Applicant: _____

5.0 DATA PROTECTION:

To comply with the UK Money Laundering Regulations, we are required to collect information and to undertake checks on the applicant's identity and residential address, including accessing and using information held in database or other electronic format through other agencies. We may also request further supporting documentation to verify these details. Your information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. We will add this personal data and details (including email details) to our database. If you do not consent to the use of your personal data in this way, we are unable to consider your application. By submitting the completed application form to us, you consent to the use of your information as indicated. In the absence of other instructions from you, we will send confirmation(s) and monthly statements to you by e-mail. Additionally, please refer to our Privacy Notice that is available on our website - <https://www.css-investments.com/privacy-notice/>

6.0 DECLARATIONS:

I/We, jointly and severally, declare that:-

- I/We hereby request and authorise Collins Sarri Statham Investments Limited to open an account on our behalf with Jarvis Investment Management Limited.
- I/We have read and understood the nature and the risk of the product(s) that will be traded in this account.
- I/We have obtained, read and understood the following:
 - a) The Retail Client Agreement,
 - b) Order Execution Policy,
 - c) Conflict of Interest Policy,
 - d) the Account Fees and Charges.
- I/We authorise the person(s) named in Part 3 of this application form to give instruction in relation to the account. I understand we will be jointly liable for any investment decision made.
- I/We have provided true, accurate and complete information and authorise you to make any enquiries which you may consider necessary for confirmation of such information. I/We undertake to update CSS Investments, without delay, of any changes to the information provided in either this form, or in the Retail Client Profile (RCP) telephone call that will be undertaken with the account's allocated broker, once the account has been opened and accepted by CSS Investments.
- I/We accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these being provided to me/us.

Are you a Politically Exposed Person (PEP)?*

Yes / No

Are you connected to a PEP?**

Yes / No

*Politically Exposed Person (PEP) means a natural person who is or who has been entrusted with prominent public functions and includes the following:

- A. Heads of State, heads of government, ministers and deputy or assistant ministers.
- B. Members of parliament or of similar legislative bodies.
- C. Members of the governing bodies of political parties.
- D. Members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances.
- E. Members of courts of auditors or of the boards of central banks.
- F. Ambassadors, chargés d'affaires and high-ranking officers in the armed forces.
- G. Members of the administrative, management or supervisory bodies of State-owned enterprises.

**Connected to a Politically Exposed Person (PEP) means family members and close associates Family members includes the following:

- A. The spouse, or a person considered to be equivalent to a spouse, of a politically exposed person.
- B. The children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person.
- C. The parents of a politically exposed person.

Persons known to be close associates' means:

- A. Natural persons who are known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a politically exposed person.
- B. Natural persons who have sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up or the de facto benefit of a politically exposed person.

Date: _____

First Applicant Full Name: _____

Signature: _____

Second Applicant Full Name: _____

Signature: _____

*Please forward this form, together with a signed copied of the Retail Client Agreement, and copies of identification documents to: **Trade Support, Collins Sarri Statham Investment Limited, 138 Fortis Green Road, Muswell Hill, London. N10 3DU.** or email to tradesupport@css-investments.com*